

# SESSIONS

PRE-CONFERENCE WORKSHOP - REGULATION IN FOCUS - 27/02/2018

ITAS

27 February - 1 March 2018

Le Royal Luxembourg  
Luxembourg

---

## Registration and Coffee

13:30 - 14:00

---

## Setting the scene - An overview of today's regulatory landscape

14:00 - 14:15

---

## MiFID II - The post-mortem

14:15 - 15:00

An analysis of the first 8 weeks – what challenges have we overcome and what still remains to be tackled?

### Participants

**Olivier Carré** - Partner, Regulatory & Compliance leader, PwC

**Christiane Muyldermans** - Counsel Regulatory Affairs, Corporate Public Affairs, KBC AM

**Mr Vikramaaditya** - Chief Transformation & Administration Officer, HSBC Global Asset Management

---

## Coffee and networking break

15:00 - 15:30

---

## GDPR: The final countdown

15:30 - 16:15

With just 3 months left before implementation, what are the key concerns the industry is losing sleep over and how can we mitigate against them?

- Sustainable Models for Data Flow Management
- Automation and Standardisation
- Data Controllers vs Processors
- Transparency vs Privacy
- Identifying data flows among fund providers and ultimate responsibilities

### Participants

**Moderator: Herve Leclercq** - Partner, Luther S.A

**Panellist: Sandrine Puccilli** - Member of the Executive Committee, Lemanik Asset Management

**Panellist: Nathalie Mege** - Partner, Bel Air Partners

---

## AML IV Implementation

16:15 - 17:00

- Comparison of different approaches across European markets
- Market Best Practice: Sharing strategies for success
- Anticipating AML V

### Participants

**Gerard Green** - Head of AML, EMEA, Invesco Asset Management S.A.

**Bernard Tancre** - Head of Business Solutions, Investment Funds Services, Clearstream Banking

---

## End of Workshop - Networking Drinks Reception

17:00 - 18:00

# SCHEDULE

PRE-CONFERENCE WORKSHOP - REGULATION IN FOCUS - 27/02/2018

ITAS

27 February - 1 March 2018

Le Royal Luxembourg  
Luxembourg

TIME	
13:00	13:30 - Registration and Coffee
14:00	14:00 - Setting the scene - An overview of today's regulatory landscape 14:15 - MiFID II - The post-mortem
15:00	15:00 - Coffee and networking break 15:30 - GDPR: The final countdown
16:00	16:15 - AML IV Implementation
17:00	17:00 - End of Workshop - Networking Drinks Reception

---

## Registration and Coffee

08:30 - 09:00

---

## Chair's opening remarks

09:00 - 09:15

---

## Keynote address - Unleashing potential: Performance optimisation for your team

09:15 - 09:45  
Plenary

### Participants

**Speaker: Doug Gordon** - CEO, DDG Performance Optimisation

---

## Navigating the unknowable: How to prepare for the uncertainty of Brexit?

09:45 - 10:30  
Plenary

Does Luxembourg's dominance as a fund administration hub pose an advantage or a risk and does the TA stand to benefit from some of this disruption?

### Participants

**William Gilson** - Independent Director, Independent

**Sascha Bremer** - Business Development Advisor, Luxembourg for Finance

**Vitali Schetle** - Director, Head Product Legal Engineering, Conducting Officer, Vontobel Asset Management

---

## Industry consolidation: Are we in danger of a monopolised market?

10:30 - 11:15  
Plenary

With increasing levels of manpower and cost associated with regulatory compliance, it may become difficult for the smaller TAs to keep up. Will this result in a concentration of the TA industry?

### Participants

**Moderator: Keith Hale** - CEO, Temenos Multifonds

**Panellist: Thomas Albert** - CEO, Hauck & Aufhäuser Asset Management

**Panellist: Sheenagh Gordon-Hart** - Partner, The Directors' office

---

## Coffee break

11:15 - 11:45

---

## Technology and regulation: A meeting of minds?

11:45 - 12:30  
Plenary

Fintech is undeniably changing the way TAs operate, for better or worse. How can we regulate these rapidly developing and transformative technologies to without stifling innovation?

### Participants

**Moderator: Christiane Muyldermans** - Counsel Regulatory Affairs, Corporate Public Affairs, KBC AM

**Panellist: Clara Durodié** - Founder and Managing Partner, Cognitive Finance Group

**Panellist: Rafal Kwasny** - Vice President, International Transfer Agent EMEA, Franklin Templeton Investments

---

## Data: The oil of the future

12:30 - 13:00  
Plenary

Data is fast becoming the most precious resource of the digital era. As our power to process and analyse it increases, so does its economic value. Are you sitting on a goldmine?

### Participants

**Speaker: Michael Winkler** - Business Development Manager - Fondcenter, UBS Asset Management

---

## Lunch

13:00 - 14:15

---

## Servicing investors in an alternative world

14:15 - 14:45  
Stream A - Next Gen Distribution

How does the role of the TA differ between alts and traditional funds, and does this signify a new era for the industry?

### Participants

**Victor Tarsitano** - Head European Shareholder Services, Goldman Sachs Asset Management

---

---

## Augmentation not replacement: Recalibrating the conversation and embracing innovation

14:15 - 14:45  
Stream B - Achieving Operational Efficiency: The case for fintech

The fast-paced developments in fintech are increasingly seen as a threat to the TA role, but could a change in perspective help us to alter this paradigm and realise the positive potential for the industry?

### Participants

**Sinisa Vacic** - Vice-President & Senior Manager, Investor Services team, Capital Group

---

## Passporting in Asia: The global implications

14:45 - 15:30  
Stream A - Next Gen Distribution

Could these schemes pose a real threat to the dominance of UCITS funds in Asia? And if so, how will this affect the European Markets?

### Participants

**Yang Du** - Managing Director, Head of Asset Management, China Securities International

---

## Intelligent automation: Practical implementation of AI

14:45 - 15:30  
Stream B - Achieving Operational Efficiency: The case for fintech

Decipher the jargon to explore what solutions this technology is actually providing, and how businesses are deciding where to implement it.

### Participants

**Hugo Larginho Brás** - Director, PwC

**Helmut Mach** - CTO & Founder, ArpaFX GmbH

**Jonathan Ha** - CEO, RedPulse

---

## Afternoon coffee break

15:30 - 16:00

---

## The Post-Brexit Distribution Landscape: Chatham House Rule

16:00 - 16:55

### Participants

**Host: Heather Hopkins** - MD, NextWealth

---

## Closing Remarks

16:55 - 17:00

# SESSIONS

DAY 1 - 28/02/2018

ITAS

27 February - 1 March 2018

Le Royal Luxembourg  
Luxembourg

---

**Closing of the conference and networking  
drinks**

17:00 - 19:00

# SCHEDULE

DAY 1 - 28/02/2018

ITAS

27 February - 1 March 2018

Le Royal Luxembourg  
Luxembourg

TIME	PLENARY	STREAM A - NEXT GEN DISTRIBUTION	STREAM B - ACHIEVING OPERATIONAL EFFICIENCY: THE CASE FOR FINTECH
08:00	08:30 - Registration and Coffee	08:30 - Registration and Coffee	08:30 - Registration and Coffee
09:00	09:00 - Chair's opening remarks 09:15 - Keynote address - Unleashing potential: Performance optimisation for your team 09:45 - Navigating the unknowable: How to prepare for the uncertainty of Brexit?	09:00 - Chair's opening remarks	09:00 - Chair's opening remarks
10:00	10:30 - Industry consolidation: Are we in danger of a monopolised market?		
11:00	11:15 - Coffee break 11:45 - Technology and regulation: A meeting of minds?	11:15 - Coffee break	11:15 - Coffee break
12:00	12:30 - Data: The oil of the future		
13:00	13:00 - Lunch	13:00 - Lunch	13:00 - Lunch
14:00		14:15 - Servicing investors in an alternative world 14:45 - Passporting in Asia: The global implications	14:15 - Augmentation not replacement: Recalibrating the conversation and embracing innovation 14:45 - Intelligent automation: Practical implementation of AI
15:00	15:30 - Afternoon coffee break	15:30 - Afternoon coffee break	15:30 - Afternoon coffee break
16:00	16:00 - The Post-Brexit Distribution Landscape: Chatham House Rule 16:55 - Closing Remarks	16:00 - The Post-Brexit Distribution Landscape: Chatham House Rule 16:55 - Closing Remarks	16:00 - The Post-Brexit Distribution Landscape: Chatham House Rule 16:55 - Closing Remarks
17:00	17:00 - Closing of the conference and networking drinks	17:00 - Closing of the conference and networking drinks	17:00 - Closing of the conference and networking drinks

# SESSIONS

DAY 2 - 01/03/2018

ITAS

27 February - 1 March 2018

Le Royal Luxembourg  
Luxembourg

---

## Registration and Coffee

08:30 - 09:00

---

## Chair's opening remarks

09:00 - 09:10

---

## Keynote: Escaping the silo: How, why and what greater collaboration within the industry could look like

09:10 - 10:00

---

## True transparency in the fund distribution chain

10:00 - 10:30

Is this simply a pipe dream or are we approaching a truly transparent operating model?

### Participants

**Stuart Jehan** - Strategic Development Manager, Robeco

**Sonya Slater** - Global Head of Fund Operations, Investec

**Alexandre de Schaetzen** - Director, Euroclear

---

## The D2C evolution: Reimagining the industry from the customer up

10:30 - 11:00

With the proliferation of D2C platforms and P2P lending, do we need to find a way to put the customer first or risk losing them?

### Participants

**Andreas Moller** - Director – New Business Services, Franklin Templeton Investments

**Olivier Portenseigne** - Managing Director, Fundsquare

---

## Coffee break

11:00 - 11:30

---

## Platform standardisation & compatibility: How to ensure your systems are future-proofed

11:30 - 12:00

Concerns around the updating of legacy systems are just the beginning - how will we manage the proliferation of new platforms and their implementation in 5 years time?

### Participants

**Laura Bailey** - Founder, Qadre

**Mike Tumilty** - Director of Operations, Standard Life

**Taylor Duncan** - Senior Global TA Product Manager, HSBC Securities Services

---

## Demystifying DLT: Case studies in Blockchain application

12:00 - 13:00

### Participants

**Ashley Kembal-Cook** - Business Development Lead, Qadre

**Steve Pomfret** - CEO, Cygnetise

---

## Lunch

13:00 - 14:15

---

## Moving up the value chain: How can the TA maintain a crucial role for the FM in today's landscape?

14:15 - 14:45

### Participants

**Steven Caluwaerts** - Head of Transfer Agency, EMEA & Global Fund Services, Luxembourg, Citi

---

## Due diligence questionnaires: Stories from the battlefield

14:45 - 15:15

Where is it working... and where is it not?

### Participants

**Sven Lorenz** - Head of Distribution Management, Nordea Asset Management

**Giles Swan** - Director of Global Funds Policy, ICI GLOBAL

---

## KYC: A necessary nightmare and how to overcome it

15:15 - 16:00

### Participants

**Benoit Durand** - Global Head of Client Operating Service, Edmond De Rothschild Asset Management

**Panellist: Pascal Morosini** - CEO, i-Hub

**Panellist: Tanja Van Sterthem** - Global Market Manager Funds, SWIFT

---

## End of ITAS 2018

16:00 - 16:05

# SCHEDULE

DAY 2 - 01/03/2018

ITAS

27 February - 1 March 2018

Le Royal Luxembourg  
Luxembourg

TIME	
08:00	08:30 - Registration and Coffee
09:00	09:00 - Chair's opening remarks 09:10 - Keynote: Escaping the silo: How, why and what greater collaboration within the industry could look like
10:00	10:00 - True transparency in the fund distribution chain 10:30 - The D2C evolution: Reimagining the industry from the customer up
11:00	11:00 - Coffee break 11:30 - Platform standardisation & compatibility: How to ensure your systems are future-proofed
12:00	12:00 - Demystifying DLT: Case studies in Blockchain application
13:00	13:00 - Lunch
14:00	14:15 - Moving up the value chain: How can the TA maintain a crucial role for the FM in today's landscape? 14:45 - Due diligence questionnaires: Stories from the battlefield
15:00	15:15 - KYC: A necessary nightmare and how to overcome it
16:00	16:00 - End of ITAS 2018