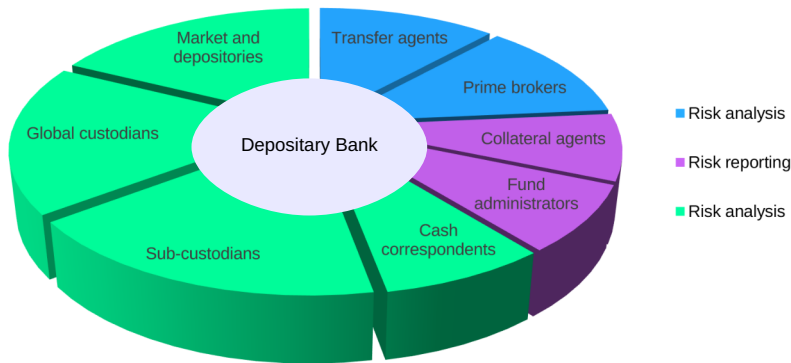




AIFMD/UCITS V Wrap Solution

Thomas Murray provides all custodians, acting as Depositories under AIFMD/UCITS V, with a comprehensive solution to meet the new requirements through our fully auditable technology, monitoring of capital markets (including CSD and CCP Risk Assessments) and counterparty monitoring (including Global Custodians, Sub-custodians, Prime Brokers and Transfer Agents).

A market and counterparty monitoring regulatory solution



Thomas Murray Data Services AIFMD/UCITS V solutions

Over 25 years expertise working with over 65 major banking groups including over 25 Depository Banks supporting their AIFMD/UCITS V requirements as follows:

Risk analysis

Markets – AIFMD/UCITS V Market Profiles, c.35 page reports updated daily with newsflashes advising of changes currently tracking over 100 markets.

Global Custodians – detailed risk assessments with ongoing monitoring covering all major groups globally.

Sub-custodians and Cash Correspondents – detailed risk assessments, ongoing monitoring with on-site optional review visits every c.24 months covering over 330 globally.

Risk reporting

Prime Brokers – issuance of an industry agreed Prime Broker monitoring questionnaire supporting risk assessment and ongoing monitoring covering all Prime Brokers.

Transfer Agents (TA) – issuance of industry agreed TA monitoring questionnaire, supporting risk based analytical report covering over 2,000 TAs globally.

Risk data capture

Utilising fully auditable technology providing evidence of actions pertaining to:

Fund Administrators – issuance of Fund Administrator monitoring questionnaire for all Depository Bank counterparties.

Collateral Agents – issuance of Collateral Agent monitoring questionnaire for all groups.

To find out more contact:



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